National Energy Board

Online Operations and Maintenance (O&M) Notification USER GUIDE

APRIL 2018



Online Operations and Maintenance (O&M) Notification USER GUIDE UPDATED APRIL 2018

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Introduction

The Online Event Reporting System (OERS or ERS) enables companies to efficiently notify the National Energy Board (Board) of Operation and Maintenance (O&M) activities, when notification is required.

This guide provides step by step instructions that will help users access the ERS and create new O&M Notifications. For further information regarding O&M notification requirements, please consult the Operations and Maintenance Activities on Pipelines Regulated Under the National Energy Board Act: Requirements and Guidance Notes – O&M Guidelines. For additional information pertaining to the Board's responsibilities as they relate to fish and fish habitat and navigation for notifications of O&M activities can be found, please consult the Additional Information Requirements Relating to Fish and Fish Habitat and Navigation for Notification of Operations and Maintenance (O&M) Activities letter.

All sections with a red asterisk (*) are mandatory.

Contacts

If you have questions or issues regarding the online O&M Notification system or the ERS, contact:

National Energy Board Operations Compliance Officer <u>dlerssupport@neb-one.gc.ca</u> 1-800-899-1265

If you have any technical issues with the ERS, you can also send an email to:

dlerssupport@neb-one.gc.ca

Getting Started

To file a new O&M Notification go to the "Welcome" page of the ERS by going to https://apps.neb-one.gc.ca/ers; click the <<Continue>> button at the bottom of this page.

Alternatively, a notification can be filed from the NEB Home Page (<u>neb-one.gc.ca</u>) following these steps:

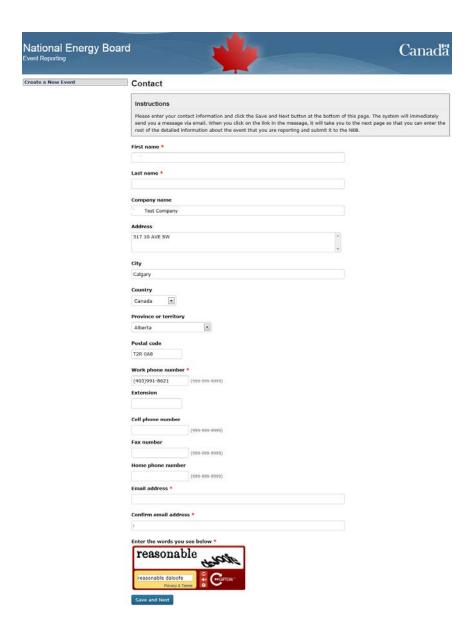
- Regulatory Documents (bottom left side menu)
- Submit Applications and Regulatory Documents
- ➤ File under the NEB Act/OPR
- > Information filings
- ➤ Notification of Operations and Maintenance
- > Apply

Welcome



Contact

Every time you file a new O&M Notification, you will be asked to provide some pertinent information, including an email address, phone number and name. The email address will be used to allow the system to send you a link to access the full ERS portal. Once complete, click <<Save and Next>>.



Fmail Confirmation

A message with the link to the ERS portal will be sent to the email address that you provided. If the message does not appear in your Inbox, please check to see if it was automatically moved into your Junk E-Mail or another folder.



Click the link in the email confirmation to access the full ERS portal, where you will be asked to enter further information.

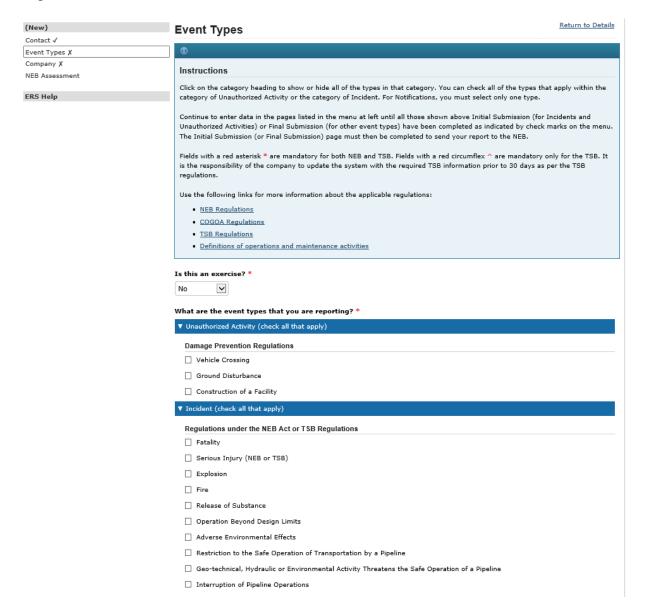
Thank you for using the National Energy Board's Event Reporting System. This message confirms that you have started to enter information to report a new event but have not completed all fields required to submit the information to the NEB. If you lose your connection to the NEB, you can click the link below at any time to return to the data entry screen for this event in the NEB's Event Reporting System.

https://apps.neb-one.gc.ca/ERS/Home/Index/4c54a468-7bf1-4e72-bd8c-a6d739e5a5f2

This is an automated email sent from the National Energy Board (NEB). If clicking on a link in this message does not work, copy the complete link text and paste it into the address line of your browser. Do not reply directly to this message as it comes from an account which is not monitored. If you encounter problems of any kind in using this system, please contact the NEB at dlerssupport@neb-one.gc.ca for assistance.

Event Types

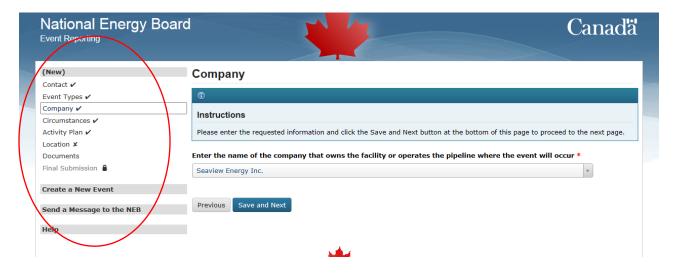
This screen requires you to scroll to the **Notification** area at the bottom of the page and select "Operations and Maintenance." Then click on <<Save and Next>>.



Reg	gulations under COGO Act
	Loss of Well Containment
	Missing Person
	Imminent Threat to Safety of Person
	Imminent Threat to Safety of Support Craft
	Imminent Threat to Safety of Installation
	Threat to Environment
	Unauthorized Discharge (Pollution)
	Damage to Property
	Damage to Pipeline, Equipment, or Installation
▼ Not	tification (check only one)
	shore Pipeline Regulations
Ons	
Ons	shore Pipeline Regulations
Ons	shore Pipeline Regulations Operations and Maintenance
Ons	ocessing Plant Regulations
Ons Pro	shore Pipeline Regulations Operations and Maintenance Occasing Plant Regulations Emergency Flaring
Pro	shore Pipeline Regulations Operations and Maintenance occasing Plant Regulations Emergency Flaring Hazard Identification
Pro	shore Pipeline Regulations Operations and Maintenance Occasing Plant Regulations Emergency Flaring Hazard Identification Suspension of Operations

Company

The next screen asks about the company responsible for the O&M Activity. Select the company by using the drop-down list. Alternately, you can also start typing the name of the company in order to facilitate this process. If the company is not listed, select --Not Listed-- and enter the full legal name of the company.

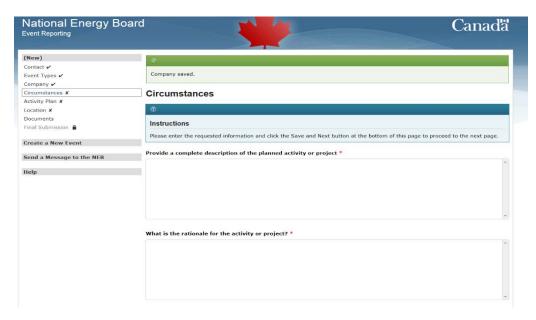


Note: as you progress through the system, check marks on the left hand side of the screen indicate which screens have been populated and saved for the event being reported.

Once complete, click <<Save and Next>>.

Circumstances

The first two sections of the next screen require you to enter more information about the activity. You are required to enter a complete description of the activity as well as a rationale.



In order to keep any information that has been entered, click <<Save>> at the bottom of the page.



Once complete, continue to scroll down the "Circumstances" section.

Circumstances Continued

The next section lists six circumstances for which the Board requires notification. Select all circumstances (i.e. the reason for notifying the Board of the activity) that apply to the notification. You must select at least one.

Cn	eck all of the following circumstances that apply to this event *
	There are unresolved 3rd party concerns (i.e., landowners, Aboriginal groups, shippers, federal and provincial departments, or other parties who may be affected by the O&M activities) and these concerns relate specifically to the proposed O&M activity
	New temporary or permanent land is required that: is within critical habitat for any Endangered or Threatened species listed on Schedule 1 of the federal Species at Risk Act; oris to be acquired in accordance with sections 86 and 87 of the NEB Act.
	Exposure of the pipe surface for the purposes of integrity assessments, repairs or removing an existing section of pipe and putting a new section in its place in areas where there are residences, or where there is a school, hospital, prison, or other institution where people routinely congregate in large groups (more than 50 people), within the emergency planning zone or within 200 m of the proposed activity (whichever is greater)
	Removing an existing section of pipe and putting a new section in its place where the replacement is greater than 100 m (but less than 5 km) in length
	Pressure testing of line pipe performed by means other than hydrostatic testing
	Ground disturbance using power-operated equipment within 30 m of a wetland or a water body, or within 30 m of the substrate of a wetland or water body
Tł	hird Party Consultation
ju	ovide a summary of overview of consultation that has been undertaken with potentially affected 3rd parties or stification why consultation was not carried out. (If this information is provided in a document uploaded through the ocuments page, state this.) *
	~
Pr	ovide references to the applicable sections of relevant company manuals or environmental protection plan *
	^
	~
F	Previous Save Save and Next

The Circumstances page also requires you to provide a summary of overview of Third Party Consultation and details of manuals that will be applicable to the activity. Once complete, click <<Save and Next>>.

Circumstances Continued (Unresolved Third Party Concerns)

slightly to require complete record or table of consultation.	,
Check all of the following circumstances that apply to this event *	
There are unresolved 3rd party concerns (i.e., landowners, Aboriginal groups, shippers, federal and provincial department parties who may be affected by the O&M activities) and these concerns relate specifically to the proposed O&M activity	ts, or other
Third Party Consultation	
Provide a complete record or table of consultation that has been undertaken with 3rd parties or justification why consultation was not carried out. (If this information is provided in a document uploaded through the Document state this.) *	
	A
	-

Circumstances Continued (New Land)

If you select the second circumstance "New land is required" a new section, is activated.

- ☐ New temporary or permanent land is required that:
 - is within critical habitat for any Endangered or Threatened species listed on Schedule 1 of the federal Species at Risk Act; or
 - is to be acquired in accordance with sections 86 and 87 of the NEB Act.

A new item will appear on the left side menu, which will be completed after the "Location" section.

	(New)
	Contact ✓
	Event Types ✓
	Company ✓
	Circumstances ✓
_	Activity Plan ✓
	Location ✓
	New Land ✓
	Fish Habitat ✓
	Documents
	Final Submission
	Create a New Event
	Send a Message to the NEB
	Help

Circumstances Continued (Near Residence)

If you select the third circumstance, Exposure of pipe surface near a residence or where people routinely congregate, a new section, Pipe Surface Exposure, is activated.

n p	exposure of the pipe surface for the purposes of integrity assessments, repairs or removing an existing section of pipe and putting seew section in its place in areas where there are residences, or where there is a school, hospital, prison, or other institution where eople routinely congregate in large groups (more than 50 people), within the emergency planning zone or within 200 m of the
р	roposed activity (whichever is greater)
	Provide details of the locations of the applicable residence or buildings where people congregate. (If this information is
	provided in a document uploaded through the Documents page, state this.) *
Circ	cumstances Continued (Pipe Removal and Replacement)
•	u select the fourth circumstance, Removal and Replacement of Pipe, a new section, New Section, is activated.
V	Removing an existing section of pipe and putting a new section in its place where the replacement is greater than 100 m (but less than 5 km) in length
	New Pipe Section
	How long (metres) is the section of pipe being replaced? *

Circumstances Continued (Pressure Test)

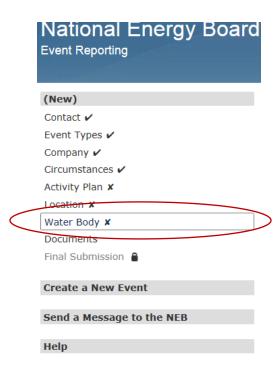
If you select the fifth circumstance, Pressure Test by Other Means, a text box, Pressure Testing, is activated.

Pressure testing of line pipe performed by means other than hydrostatic testing	
Pressure Testing	
How is the pressure testing to be performed? *	
	^
	+
Provide references to the applicable sections of relevant company manuals or environmental protection plan *	
	^

Circumstances Continued (Work Near Water Body or Wetland)

If you select the last and sixth circumstance, Ground Disturbance within 30 m of a Wetland or Waterbody, after you select <<Save and Next>>, a new page titled "Water Body" will be initiated to be completed before submitting notification.

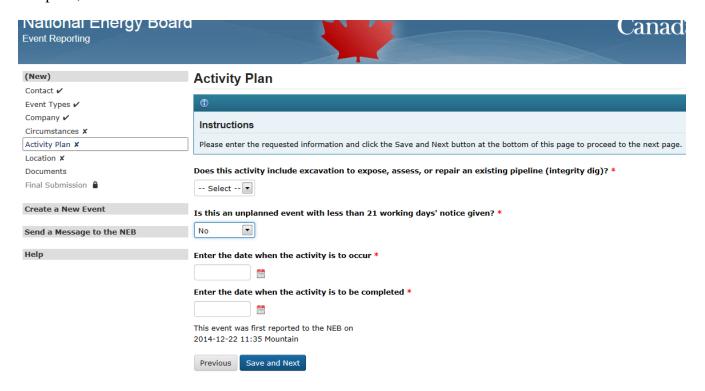
 Ground disturbance using power-operated equipment within 30 m of a wetland or a water body, or within 30 m of the substrate of a wetland or water body



Once complete, click <<Save and Next>>.

Activity Plan

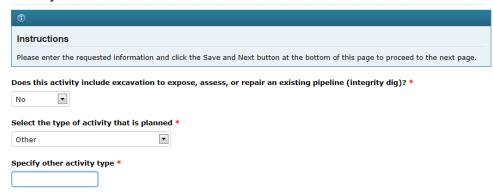
The next screen asks you to enter more information about the activity, such as dates. Once complete, click <<Save and Next>>.



If you select <<YES>> to the first question, you are required to enter the number of integrity digs associated with the notification.

If you select<<NO>> to the first question, you will be required to enter the type of activity by choosing from the dropdown list or by selecting "Other," which will also initiate a text box where you will be required to provide a brief description on the type of activity.

Activity Plan

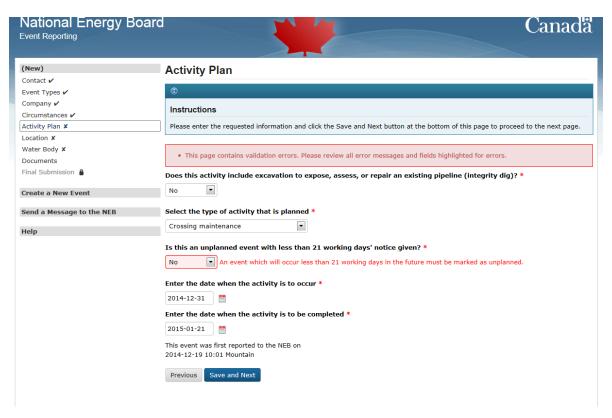




As per the Guidance notes, a company is required to notify the Board 21 working days in advance of an O&M activity. If you are filing an unplanned event, a text box will appear and an explanation will be required.

Is this an unplanned event with less than 21 working days' notice given? * Yes	
Please explain why 21 working days' notice cannot be given for this	activity *
	A
	▼

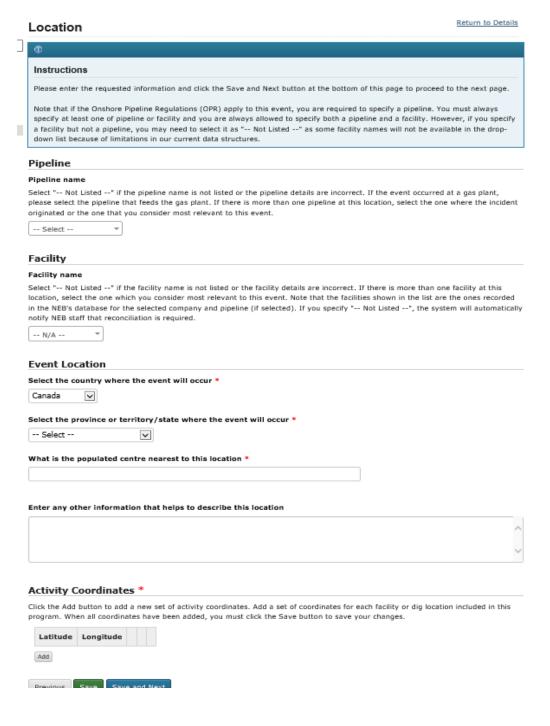
Once you have entered the start and finish dates of the activity, if you have not provided the Board with 21 days, a red box will appear at the top of the page. You will then be required to either change the dates, or click <<YES>> to unplanned activity and provide an explanation.



Once complete, click <<Save and Next>>.

Location

The next screen asks you to enter information about the location of the event. The "Pipeline" section is mandatory. If the name of the pipeline is not listed or if the name in the list is incorrect, select --Not Listed--, and provide all required information (name of pipeline, outside diameter, pipeline length and commodity carried).

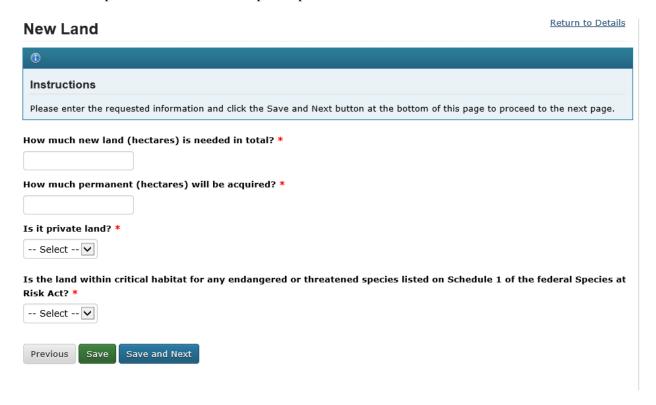


To enter the longitude and latitude of the activity, click <<Add>>>. If there is more than one activity site for the notification, click <<Add>>> as many times as necessary, as you can include more than one activity site.

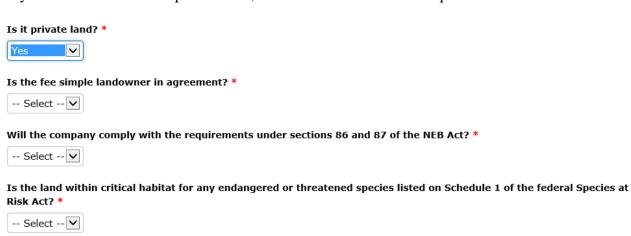
Once complete, click << Save and Next>>.

New Land

If you selected "New Land is required" on the Circumstances page, you will be required to provide more information. This screen will ask for information pertaining to the amount of new land needed, how much of that land is permanent, land ownership and species at risk.



If you select <<YES>> to private land, more information will be required:



If you select <<YES>> to land within critical habitat for any endangered or threatened species listed on Schedule 1 of the federal Species at Risk Act, more information will be required:

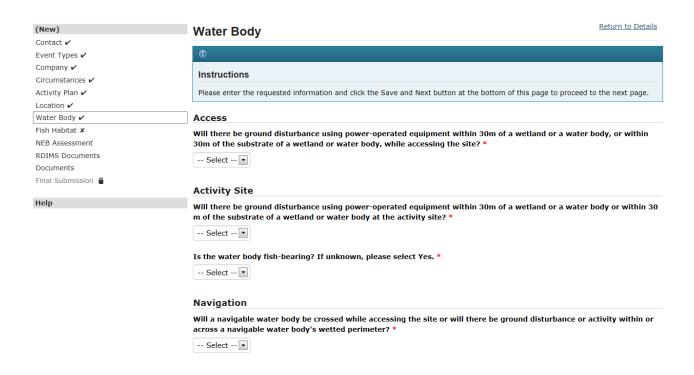
Is the land within critical habitat for any endangered or threatened species listed on Schedule 1 of the federal Species at Risk Act? * Yes Species at Risk Critical Habitat Provide a list of the species at risk that may be affected: * How much new permanent land (hectares) is needed? * How much new temporary land (hectares) is needed? * Have relevant provincial and federal authorities been consulted? * -- Select -- ∨ Provide details of the consultation: Instructions It is expected that additional information regarding the following will be provided in one or more documents that have been uploaded on the Documents page such as: · Site plans · A description of the activities that will be conducted that are relevant to critical habitat and their potential impacts to species · All reasonable alternatives to the activity that would reduce the impact on the species that have been considered · A description of all feasible measures that will be taken to minimise the impact of the activity on the species or its critical habitat or the residences of its individuals · Details of monitoring that is proposed to avoid and lessen any adverse effects

Save and Next

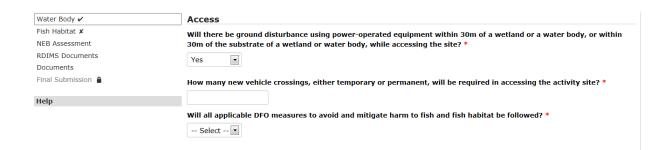
Previous

Water Body

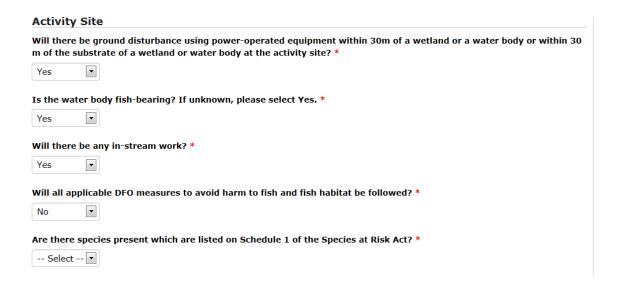
If you selected "Ground disturbance using power-operated equipment within 30 m of a wetland or water body" on the Circumstances page, you will be required to provide more information. This screen will ask for information pertaining to the Access, Activity Site and Navigation.



If you selected <<YES>> to the Access question, more information will be required:



If you selected <<YES>> to the first Activity Site question, more information will be required:



More information about Fisheries and Oceans Canada (DFO) and efforts to avoid, mitigate and/or offset harm to fish and fish habitat can be found at http://www.dfo-mpo.gc.ca/pnw-ppe/index-eng.html.

If you answer <<NO>> to following applicable DFO measures to avoid harm to fish and fish habitat for either the access or the activity site, more information will be required and you will need to complete the Fish Habitat page (please see below for more information).

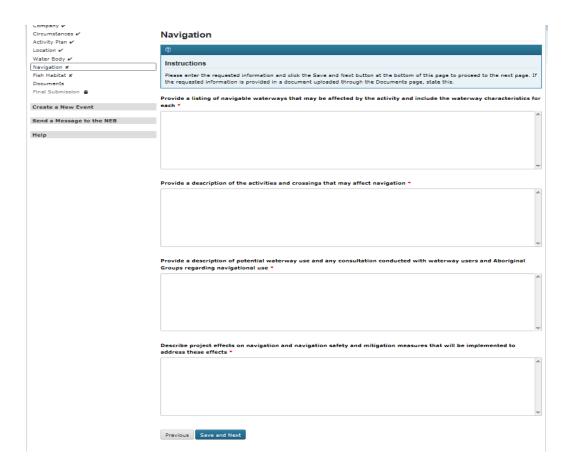
Navigation

If you select $<<\!\!\mathrm{YES}\!\!>>$ to the "Navigation" question, more information will be required.

Navigati	on
	gable water body be crossed while accessing the site or will there be ground disturbance or activity within or avigable water body's wetted perimeter? *
Yes	
	tivity, including all in-stream restoration and removal of construction debris, be carried out while the navigable a is frozen or seasonally dry? *
No	
	ivities with the potential to impact navigation and navigation safety meet the criteria of Transport Canada's ks and Waters Order, as amended? *
Yes	
Previous	Save and Next



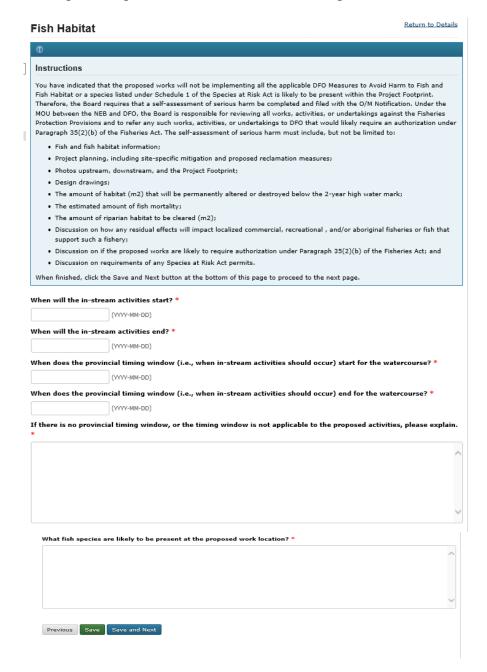
If you select <<NO>> to meeting the criteria of Transport Canada's Minor Works and Waters Order, you will be required to provide more information on a separate screen. More information on Transport Canada and the Order can be found at http://www.tc.gc.ca/eng/programs-633.html.



Once complete, click <<Save and Next>>.

Fish Habitat

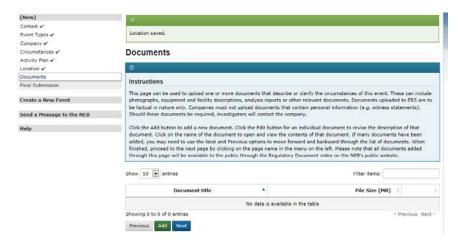
If you select <<NO>> to following DFO measures to avoid harm to fish and fish habitat at any time, you will be required to provide more information on a separate screen.



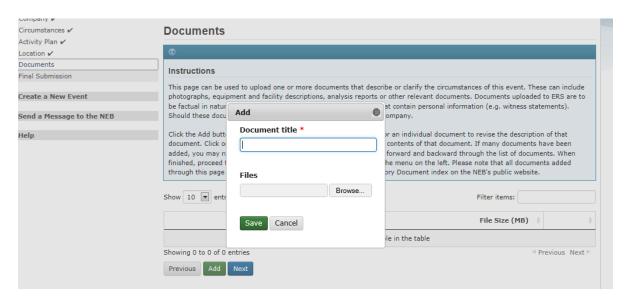
When satisfied that all information is correct, click <<Save and Next>>. You will be directed to the Documents page.

Documents

The Documents screen allows for the upload of documents relating to the event, including photographs, reports, various types of correspondence, etc.

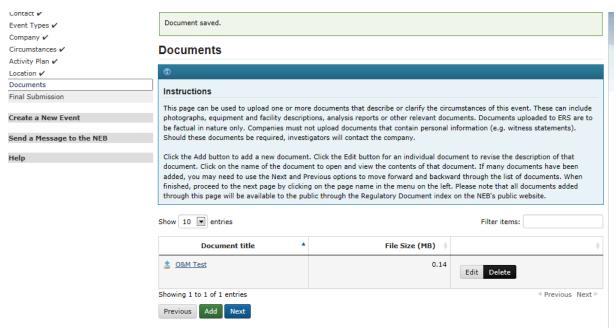


Type the document title and then click on <<Browse>> to locate file on computer. Click <<Save>>.



You can select << Add>> for each additional document, or you can click << Next>> when all documents have been uploaded.

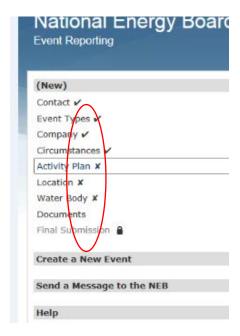




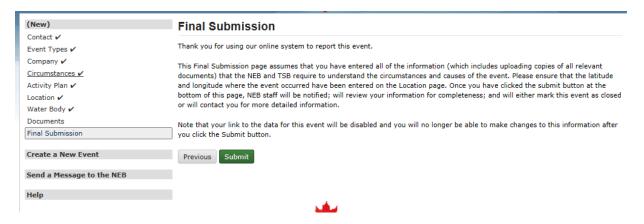
Once complete, click <<Save and Next>>. You will be directed to the final submission.

Final Submission

You may receive an error message as noted below if you have any outstanding mandatory data missing from your notification. In order to rectify, simply click on the menu located on the left of the screen to navigate to the appropriate screen for the necessary corrections.



Once all fields have been populated with all required data free of errors you will be able to submit the notification.

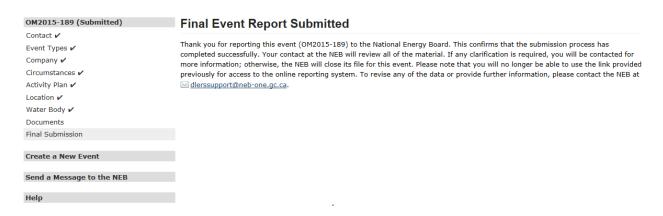




You will be prompted to proceed with the submission. Click <<OK>> to submit or <<Cancel>> to return to the event for further editing.



After the submission has been made, a screen will indicate that the report has been successfully submitted to the NEB.



The filing now has an event number assigned to it (i.e. OM2018-025). Once the notification has been submitted an automatically generated e-mail confirmation (see below) will be sent to the e-mail address provided in the report, advising that the notification has been received and should clarification of the information be required then an NEB staff member will contact you at the e-mail or phone provided in the notification.



Thank you for reporting this event (OM2018-025) to the National Energy Board. This message confirms that you have completed your submission to the NEB of all information for this event as listed below. Your contact at the NEB will review all of the material. If any clarification is required, you will be contacted for more information; otherwise, the NEB will close its file for this event. Please note that you will no longer be able to use the link provided previously for access to the online event reporting system. To revise any of the data or provide further information, please contact the NEB at dlersupport@neb-one.gc.ca.

Following is a list of the data that you have provided to the NEB:

View documents in the NEB regulatory document repository

Event Details - OM2018-025

Event Types

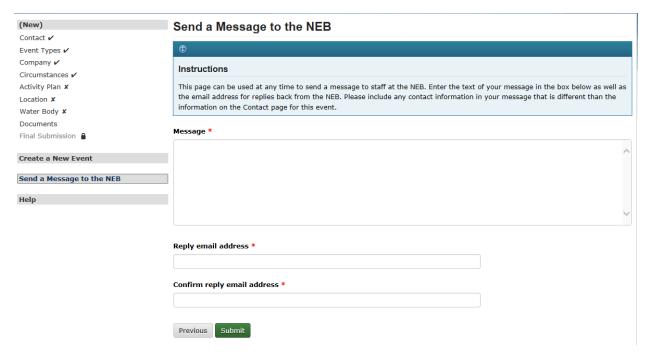
What are the event types that you are reporting? Notification (check only one)

· Operations and Maintenance

By clicking on the link in the <<View documents in the NEB regulatory document repository>> link, you can view the filing in The National Energy Board's electronic regulatory document repository (REGDOCS). This record is simultaneously created in the Board's electronic repository. Notifications can be also viewed by going to www.neb-one.gc.ca and clicking on <<View Regulatory Documents>>. Once in REGDOCS, select the Facility type, company name, and then select "Notification of Operation and Maintenance Activities."

Once submitted, if changes are required or something was submitted in error, click on << Send a Message to the NEB>>. Any changes required after submission will have to be made by NEB staff or staff will reopen the event for editing. Ensure to include the event number in the message (i.e. OM2018-025), as well as any relevant details.





Alternatively, you can send an email to <u>dlerssupport@neb-one.gc.ca</u> with the information. Staff will contact the person on file to ensure the information is corrected.

Future Updates to Notifications

Once the notification has been submitted, you will no longer be able to edit or update the information without permission from NEB staff. You can send an email to dlerssupport@neb-one.gc.ca requesting the event be reopened for you to edit directly, or changes can be made by NEB staff. Be sure to include the event number (i.e. OM2018-025), as well as any relevant details.

In the case the notification is reopened, you will need to access the event through the original email confirmation, as described on page 5, and as shown below:



Thank you for using the National Energy Board's Event Reporting System. This message confirms that you have started to enter information to report a new event but have not completed all fields required to submit the information to the NEB. If you lose your connection to the NEB, you can click the link below at any time to return to the data entry screen for this event in the NEB's Event Reporting System.

https://apps.neb-one.gc.ca/ERS/Home/Index/4c54a468-7bf1-4e72-bd8c-a6d739e5a5f2

This is an automated email sent from the National Energy Board (NEB). If clicking on a link in this message does not work, copy the complete link text and paste it into the address line of your browser. Do not reply directly to this message as it comes from an account which is not monitored. If you encounter problems of any kind in using this system, please contact the NEB at dlerssupport@neb-one.gc.ca for assistance.

Event Closed

Once the notification has been reviewed and deemed complete, you will receive an email indicating the notification is closed.

Thank you for reporting this event (OM2018-025) to the National Energy Board. This message confirms that the NEB has completed its review of all information for this event as listed below and the NEB has closed its file for this event. Please note that you will no longer be able to use the link provided previously for access to the online event reporting system. To revise any of the data or provide further information, please contact the NEB at dlersupport@neb-one.gc.ca.

Following is a list of the data that you have provided to the NEB:

View documents in the NEB regulatory document repository

Event Details - OM2018-025

Event Types

What are the event types that you are reporting? Notification (check only one)

Operations and Maintenance